

## DEVICE FOR MAINTAINING CATHETER LUMEN PATENCY

The present application is based on provisional application serial no. 60/437,971 filed January 2, 2003, which is incorporated herein by reference.

### FIELD OF THE INVENTION

[0001] The present invention relates to apparatus for maintaining the patency of long term vascular access catheter lumens.

### BACKGROUND OF THE INVENTION

[0002] During hemodialysis, chemotherapy, and other similar treatments, patients undergo frequent treatments which utilize the vascular system as the conduit of such treatment. In order to perform such treatments, long term vascular access catheters are placed within the patients' vasculature. Preferably, the catheters remain in place in the vasculature so as to maintain vascular access for such periodic treatments, without having to insert a new catheter before administering each treatment.

[0003] However, when fluids are not being administered, such long term vascular access catheters, such as hemodialysis or chemotherapy catheters, have an inherent problem with their lumens becoming clogged by clotting blood. Currently, heparin is injected into the lumen post treatment to minimize the blood clotting within the lumen or at the lumen openings. Additionally, while devices have been designed to remove the

clots from catheters, such as miniature brushes, none of these devices effectively address the problem of initial clotting.

**Express Mail** Mailing Label No. EV 321714898  
Date of Deposit December 30, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Name: Yue x Ruan  
(typed or printed)

Signature Yue x Ruan

[0004] Accordingly, it is a general object of the present invention to provide a method and apparatus that addresses one or more of the shortcomings in current devices.

#### SUMMARY OF THE INVENTION

[0005] The present invention is directed to a method and apparatus for maintaining the patency of vascular catheter lumens so as to keep such lumens open and unobstructed when an indwelling catheter is not being used to administer treatment.

[0006] Preferably, in a first embodiment, the device of the present invention comprises a fluid chamber for containing a fluid under pressure, a valve member for controlling the flow of fluid into the chamber, and an outlet from the chamber adapted to communicate with the lumen of an indwelling catheter to keep the lumen open by the controlled flow of fluid from the fluid chamber into the lumen when the catheter is not being used for treatment. The fluid may be gas or liquid. The valve may be in the form of a resealable elastomeric member or other resealable or one-way member. Among other features, the device may also include a fluid permeable filter to prevent particulate matter from being injected into the catheter. The device may further include a luer lock for catheter attachment and a through hole communicating with the fluid chamber to regulate the rate which the fluid leaves the device when the device is attached to a catheter to prevent fluid from escaping from the device when not in use.

[0007] The elastomeric member may define a self-sealing port to permit the introduction of fluid into the chamber and prevent the uncontrolled release of fluid.

### BRIEF DESCRIPTION OF THE DRAWINGS

[0008] Fig. 1 is a longitudinal cross sectional view of a first embodiment of the present invention.

[0009] Fig. 2 is a longitudinal cross sectional view of a second embodiment of the present invention.

### DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS

[0010] Fig. 1 illustrates a first embodiment of the present invention. The device shown in Figure 1 is in the form of an integral cap or closure 10 adapted for ready attachment to a catheter hub after the treatment system or apparatus is disconnected and while the catheter remains inserted in the patient's vessel. The cap 10 comprises an outer cap body or housing 12, containing a valve member which may be in the form of an elastomeric member or plug 14, and a fluid chamber, storage cavity or space 16. A fluid permeable filter 18 may also be included in the cap.

[0011] Preferably, the cap body 12 defines three regions or cavities. The first region contains or includes the elastomeric member 14 and is located near the proximal or first end 20 of the cap body 12. The second region or cavity is for the fluid chamber 16 and is located between the first and third regions or cavities. This chamber may be defined by the body itself or by a separate member inserted into the body. If the fluid is incompressible liquid, the walls of the chamber may need to be elastomeric to allow for expansion of the chamber when the liquid is introduced and to exert pressure on the liquid. The third region or cavity contains the fluid permeable filter 18 and is located in proximity to the distal or second end 24 of the cap body 12. Although described as

regions or cavities for purposes of understanding, it is not necessary that there be actual physically separate cavities or regions within the cap. The proximal or first end 20 of the cap body 12 may include a port or opening 22 that allows for the introduction of fluid or gas into the fluid chamber 16.

[0012] The distal or second end 24 of the cap body 12 connects to the catheter hub for communication with the catheter lumen and preferably includes a male luer lock 26 with a through hole or passage 28. The through hole 28 is sized to throttle or regulate the fluid exiting the fluid chamber so that the fluid exits the cap at its distal end and enters the catheter lumen at such a rate so as to maintain a low volume “drop” of fluid through the catheter lumen. The low volume “drop” of fluid through the catheter lumen will keep the lumen open when the catheter is not being used for administering treatment. Preferably, the volume of the fluid chamber and rate of fluid exiting the cap and entering the lumen are such that the catheter lumen will remain open for a minimum of three days when treatment is not being administered.

[0013] When the cap is not being used and is not connected to a catheter hub, a female luer lock connector (not shown) can be secured to block the passage and prevent the escape of pressurized fluid located in the chamber. The cap body is preferably injection molded rigid plastic or made from other materials of sufficient mechanical strength to allow for secure catheter connection without cracking and sufficient burst strength to handle pressurization, while also permitting sterilization.

[0014] Preferably, elastomeric member 14 is formed of an elastomeric material such as latex, neoprene or other similar material. The member 14 seals the proximal port 22 in the cap 10. Member 14 may have a resealable slot or other opening so as to permit

a needle or needle-less cannula to be inserted into port 22 and through member 14 to allow for charging or injecting fluid into the fluid chamber 16. If a needle is used to introduce the fluid, it may be inserted through the member 14 without need for a preformed opening, and the material of member 14 will reseal itself, similar to a vial septum. In such case, once the fluid chamber 16 is charged with fluid from the needle, the needle is removed, and the member's elastomeric material seals itself, preventing fluid from escaping from the proximal end of the cap.

[0015] Preferably, the fluid chamber 16 within the cap 10 is prefilled with a gas, and more preferably, an inert gas, such as carbon dioxide (CO<sub>2</sub>) or N<sub>2</sub>, that has characteristics that allow it to be readily absorbed in the patient's body. The fill pressure and volume are such so as to maintain positive pressure (above typical high blood pressure levels) to force fluids from the lumen and to maintain an opening within the catheter lumen when it is not being used to administer treatment. For example, the fill pressure and volume in the fluid chamber 16 should be sufficient so as to force clotting blood and other fluids from the lumen. This will prevent such fluid from remaining in the lumen and allowing clots to form and clog the lumen.

[0016] The fluid permeable filter 18 may be of any suitable design and ensures that no particulate matter is injected into the catheter. For example, the filter may be a depth type filter or a membrane filter and may be made of any suitable sterilizable and biocompatible material.

[0017] The cap 10 is preferably relatively small and integral and sized similar to currently available injection caps for convenient and unobtrusive attachment to the hub of

a catheter. In a preferred embodiment, the caps are disposable and would be replaced after each treatment.

[0018] In a second embodiment of the present invention, as illustrated in Fig. 2, the pressurized fluid device or cap 110 preferably comprises a cap housing or body 112, an elastomeric member or plug 114, a gas storage chamber 116, a liquid storage chamber 130, and a fluid tight plunger 132.

[0019] In this embodiment, gas, such as that described in the first embodiment, is preferably added to the gas storage chamber 116 in a similar filling and charging process. Biocompatible liquid is added to the liquid storage chamber 130 preferably as another filling and charging process. The liquid selected for filling the liquid storage chamber 130 must be a biocompatible fluid, such as for example heparin or other anti coagulant, saline, sterile water, distilled water, or a mixture of two or more of the above.

[0020] The cap body 112 is structured to provide three regions. The first region is for the elastomeric member 114 and is located near the proximal or first end 120 of the cap body 112. The second region is for the gas storage chamber 116 and the inert gas located therein, and is located between the first and third regions. The third region is for the liquid storage chamber 130 and may include both the plunger 132 and the biocompatible liquid therein. The third region is located near the distal or second end 124 of the cap body 112. The plunger 132 and liquid are preferably loaded into the liquid chamber 130 from the distal end 124, which is capped prior to charging the cap with gas. The cap is charged with gas via an injection through the elastomeric member 114 as a finishing operation, in a similar manner to that described above. Preferably, the distal end 124 includes a male luer lock 126 with a through passage 128.

[0021] The elastomeric member 114 seals port 122 in the proximal end 120 of the cap in a similar manner to that described supra for the member in the first embodiment.

[0022] The plunger 132, which is slidably received within the cap body 112, is in sealed contact with the inside surface and creates a seal between the gas chamber 116 and the liquid chamber 130, which would otherwise be in fluid communication with one another. Further, as the pressurized gas in the gas storage chamber 116 exerts force on the plunger 132, the plunger 132 also serves as a means, much like a syringe piston, for forcing the liquid out of the cap assembly and into the catheter connected to the cap 110. Preferably, the volume of liquid and gas and the size of the fluid passage are such that the fluid is forced out at a rate which will keep the catheter lumen open for a period of time when the catheter is not being used for administering treatment.

[0023] The cap body 112, as with the prior embodiment, may include a male luer lock 126 for connecting the cap to a mating catheter luer hub. As noted above, the through hole or passage 128 in the male luer 126 of the cap body 112 is preferably sized so as to regulate delivery of the stored liquid to the catheter lumen for a minimum of three days. When the cap is not being used and is not connected to the catheter hub, a female luer lock member (not shown) can be secured to the male luer lock 126 to prevent the loss of liquid from the cap.

[0024] This embodiment, like the first embodiment shown in Fig. 1, also serves to maintain catheter lumen patency. This embodiment of the present invention provides a positive pressure to the catheter lumen as liquid, not gas, is delivered from the cap via the force of the pressurized gas. As a result, a low volume “drop” or flow of biocompatible

liquid is provided through the catheter lumen to keep it open when the catheter is not being used for administering treatment.

[0025] With either embodiment, in order to prep the catheter for cap acceptance, heparin may still need to be injected into each catheter lumen, as in the current practice. The cap of the present invention would then be attached to each catheter hub thereby providing positive pressure to the lumen and maintaining a fluid flow through the catheter. Preferably, the cap is disposable and replaced after each dialysis or chemotherapy treatment.

[0026] It will be understood that the embodiments and examples of the present invention, which have been described, are illustrative of some of the applications of the principles of the present invention. Numerous modifications may be made by those skilled in the art without departing from the true spirit and scope of the invention.